

02/09/00



JCS66 U.S. PTO

Transmittal Form

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail" under Label No. EL 357 191 098 US in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on:

2/9/00
(Date of Deposit)

Kevin G. Mierzwa
Reg. No. 38,049

(Signature)

Box Patent Application
Assistant Commissioner
for Patents
Washington, D.C. 20231

Attorney Doc. #: AMB 0101 PA
Mailing Date:

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Dean Amburn

For: METHOD AND APPARATUS FOR AUTOMATED TRADING OF EQUITY SECURITIES USING
A REAL TIME DATA ANALYSIS

Submitted herewith are:

- ☒ 10 sheets of ☐ Informal ☒ Formal drawings showing Figs 1-11
- ☐ An assignment of the invention to _____ together with
Assignment Recordal Sheet
- ☒ A Declaration for patent application under CFR 1.63 and 1.68
- ☒ An associate power of attorney
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27
- ☒ Other: Postcard

The filing fee has been calculated as shown below:

	No. Filed	No. Extra	Small Entity Fee	Large Entity Fee
Basic Fee			\$345	\$690
Total Claims	34-20	x 14	x 9 = 126	x 18 =
Indep. Claims	5-3	x 2	x 39 = 78	x 78 =
Multiple Dependent Claims			+ 130 =	+ 260 =
Assignment Recordal Fee				\$ 40 =
			TOTAL \$549	TOTAL

☐ Please charge my Deposit Account No. _____ in the amount of \$ _____
A duplicate copy of this sheet is enclosed

☒ A check in the amount of \$ 549.00 to cover the above calculated filing fee is enclosed

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0476. A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 CFR 1.6.
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

ARTZ & ARTZ, P.C.

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